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Dated: February 1, 2007

Signature: \_\_\_\_\_

(Jonathan A. David)

Docket No.: SONYSU 3.3-043  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Tadaharu Koga

Application No.: 09/341,324

Group Art Unit: 2617

Filed: July 9, 1999

Examiner: J. K. Contee

For: TRANSMITTING APPARATUS AND  
METHOD, INFORMATION EDITING  
APPARATUS AND METHOD, RECEIVING  
APPARATUS AND METHOD,  
INFORMATION STORING APPARATUS  
AND METHOD, AND BROADCASTING  
SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each non-US reference is enclosed, along with translations of two Japanese search reports. The present Information Disclosure Statement is being submitted along with Applicant's Request for Continued Examination in order to have these newly-cited references considered following the Notice of Allowance. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

Application No.: 09/341,324

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In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: February 1, 2007

Respectfully submitted,

By 

Jonathan A. David

Registration No.: 36,494

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KRUMHOLZ & MENTLIK, LLP

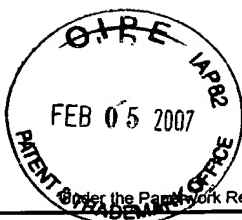
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PTO/SB/08A/B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/341,324-Conf. #4994
				Filing Date	July 9, 1999
				First Named Inventor	Tadaharu Koga
				Art Unit	2617
				Examiner Name	J. K. Contee
Sheet	1	of	2	Attorney Docket Number	SONYSU 3.3-043

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-10-241228	09-11-1998	Yamaha Corp		✓
	BB	JP-10-163988	06-19-1998	Sony Corp		✓
	BC	JP-3048024	03-01-1991	Daikin Mfg Co Ltd		✓
	BD	JP-62-242424	10-23-1987	Sumitomo Electric Industries		**
	BE	JP-09-008751	01-10-1997	Lsi Japan Kk		**
	BF	JP-09-284238	10-31-1997	Hitachi Ltd		**
	BG	JP-08-101671	04-16-1996	Nintendo Co Ltd		**
	BH	JP-55-156485	12-05-1980	Sony Corp		**
	BI	JP-08-102922	04-16-1996	Sony Corp		**
	BJ	JP-07-212327	08-11-1995	Sony Corp		**
	BK	JP-09-121197	05-06-1997	Sony Corp		**
	BL	JP-07-045050	02-14-1995	Victor Company Of Japan		**
	BM	JP-05-152986	06-18-1993	Sony Corp		**
	BN	JP-08-279796	10-22-1996	Casio Computer Co Ltd		**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	CA	Yoshimura Toshiro, Senoo Hiroshi, Ohta Masatoshi, Kai Kenjiro, Isobe Tadashi, "A receiving method for the Data Channel services with index information", Proceeding of the Autumn Convention, IEICE 1991, [part 2] communication and electronics, September 8 1991, p. 2 - 109.		
	CB	Oshita Bunsuke, "Application of Information Highway Entertainment", Trans. Of IEICE, April 25 1995, Volume 78, No. 4, p. 395 - 400.		
	CC	NIKKEI PC, "Let's View the Text Television using PC for the Utilization of the Usable Information Source in advance", Feb. 26, 1996, Number 259, P. 224 - 228.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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				Attorney Docket Number	SONYSU 3.3-043
Sheet	2	of	2		

\*\* - with english abstract.

\*\*\* - cited or discussed in translated Japanese search report.

Examiner Signature		Date Considered	
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